



MSSB/Survey\_01/2015  
22 January 2015

### Survey on Money Service Operators

This survey aims to evaluate your training needs and current cooperation with this Department [i.e. Customs and Excise Department (C&ED)] as well as help us formulate strategies to assist your sector, i.e. money service operators (MSOs), in complying with the statutory and regulatory requirements under the Anti-Money Laundering and Counter-Terrorist Financing (Financial Institutions) Ordinance (AMLO), Chapter 615, Laws of Hong Kong. Please complete and return it to us by fax **within 10 days** on receipt of this survey. Thank you for your cooperation.

Please put a tick against the appropriate checkbox

1. Which area(s) would you like to cover in the seminars to be organized by the C&ED for MSOs in the future?

- Customer due diligence                       Record keeping                       Ongoing monitoring system  
 Compliance issues under the AMLO                       Licensing requirements  
 AML/CFT\* policies, procedures and controls                       Others: \_\_\_\_\_

(\* Anti-Money Laundering and Counter-Terrorist Financing)

2. How many times have you and/or your staff attended the related seminars or courses since you have become a licensed MSO?

	<u>Organizer</u>	<u>Never</u>	<u>1 time</u>	<u>2 times</u>	<u>3 times or over</u>	<u>Medium of Instruction</u>	
						<u>Chinese</u>	<u>English</u>
a.	C&ED and/or Financial Services and the Treasury Bureau	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b.	Local associations or training institutes**	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

\*\* Please specify below:

(i) Name of Organizer(s): \_\_\_\_\_

(ii) Name of Course(s): \_\_\_\_\_

3. Are you a member of any local MSO association(s)?

- No                       Yes, the name of the association is: \_\_\_\_\_



**Customs and Excise Department**  
**香港海關**

4. Is enhancing the current channel of communication between the C&ED and the MSO sector necessary?       No       Yes       No opinion
5. How much time do you think you and/or each of your staff should spend on the AML/CFT training [i.e. seminars or courses] a year?  
 Under 5 hours       5 to 10 hours       Over 10 hours
6. How much are you willing to invest in the AML/CFT training a year for each staff?  
 Below HK\$1,500       HK\$1,500 to 3,000       Over HK\$3,000
7. Please fill in your transaction information in the period (from 1.1.2014 to 31.12.2014):

Money Changing  
Transactions  
(Amount in HKD)

Inward Remittance  
Transactions  
(Amount in HKD)

Outward Remittance  
Transactions  
(Amount in HKD)

Contact Information	
Name of MSO: _____	<b><u>Please fax to: (852) 3108 3425</u></b>  <b>Money Service Supervision Bureau Customs and Excise Department</b>  For enquiries, please contact Mr Li or Mr Cheung at Tel: (852) 3759 3742 or (852) 3759 3720 during office hours.
Licence No.: _____	
Contact Person: _____	
Tel No.: _____	
Fax No.: _____	